

CHARIOT ADVISORS, LLC BUSINESS CONTINUITY PLAN

Chariot Advisors, LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us as you usually do at (919) 380-9555 or info@chariotadvisorsllc.com, you should call our alternative number (919) 961-4939 or go to our website at www.chariotadvisorsllc.com. If you cannot access us through either of those means, you should contact our participating Financial Firms (depending on where your account is held - Midland National Life Insurance Company – (866) 270-3564 or Trust Company of America - (303) 705-6411 or Jefferson National – (866) 667-0561 for instructions on how it may accept and enter orders; execute orders and make your funds or securities available for you to receive and transact any other business functions as normal as possible.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Our participating Financial Firms, Midland National Life Insurance Company, Trust Company of America, and Jefferson National, back up all important information and records on each client account (where your specific account is held). While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, we have been advised by our participating Financial Firms that its objective is to restore its own operations and be able to complete existing transactions and accept new transactions and payments as quickly as possible.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within 24 hours. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and

resume business within 24-48 hours. In either situation, we plan to continue in business, transfer operations to our participating Financial Firms if necessary, and notify you through our website www.chariotadvisorsllc.com or our customer emergency number, (919) 961-4939 how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer's prompt access to their funds and securities.

Disclaimer – Our firm's Business Continuity Plan is designed to be prepared for significant business disruptions and to resume our business operations as quickly as possible if an event did occur. The information contained within is only a summary of our BCP. More detailed provisions of our BCP are contained in our Policies and Procedures Manual.

Our recovery time objectives are not hard and fast deadlines that are to be met in every situation as various external factors surrounding the disruption, such as time of the day, scope of disruption and status of critical infrastructure can affect actual recovery times.

Our Business Continuity Plan is subject to change without notice. We may modify this disclosure at any time with such modifications becoming effective upon posting to our website – www.chariotadvisorsllc.com. Alternatively, you may obtain a hard copy of this disclosure by mail upon request.

For more information – If you have questions about our business continuity plan, you can contact us at (919) 380-9555 or at info@chariotadvisorsllc.com.